**Pledge Submission Request**

In Japan, the provision of sensitive technologies that can be used for military purposes is managed based on the Foreign Exchange and Foreign Trade Act with the intent to maintain international peace and safety.

The attached ‘Pledge’ is a document for you to determine for yourself whether you fall under the specific categories formulated by the Ministry of Economy, Trade and Industry (METI), so that you can accordingly make a pledge to Tokushima University. If you fall under a specific category, when Tokushima University provides you with technology, depending on the nature of that technology, it may be required to obtain permission from METI in accordance with the provisions of Article 25, paragraphs 1 and 2 of the Foreign Exchange and Foreign Trade Act.

●METI Website:

Overview of the Security Export Control System in Japan

<https://www.meti.go.jp/policy/anpo/gaiyou.html>

https://www.meti.go.jp/policy/anpo/englishpage.html

About ‘Deemed Export’ Controls

<https://www.meti.go.jp/policy/anpo/anpo07.html>

After carefully perusing the above information, please check the relevant item on the pledge depending on whether you meet the conditions related to a specific category, sign the document, and be **sure to submit it** to the contact person in charge at your department.

Students of Graduate Schools of Medicine/Medical Nutrition must submit the pledge to Students Affairs Division, Faculty of Medicine.

If there are any further changes while you are employed, enrolled in school, or studying abroad, please notify the contact person in charge at your department. However, if you engage in dual employment and complete the prescribed procedures for the university, you do not need to provide any additional notification.

If you have any queries regarding the pledge, please contact the Center for Research Administration & Collaboration.

Thank you for your cooperation.

● Center for Research Administration & Collaboration, Tokushima University Website:

Security Export Control

<https://www.tokushima-u.ac.jp/ccr/active/ip/yusyutsukanri/>

[For Inquiries]

Tokushima University (National University Corporation)

Intellectual Property and Legal Division,

Center for Research Administration & Collaboration

Telephone: 088-656-9773 (ext.82-4953)

E-mail:iag-safety@tokushima-u.ac.jp

**Pledge**

To the President of Tokushima University,

I understand that if I fall under any specific category formulated by the Ministry of Economy, Trade and Industry (METI), when Tokushima University provides me with technology, depending on the nature of that technology, it may be required to obtain permission from METI in accordance with the provisions of Article 25, paragraphs 1 and 2 of the Foreign Exchange and Foreign Trade Act. Accordingly, for the sake of compliance with Tokushima University’s policy, I hereby pledge whether I fall under a specific category.

(1) A Person who has entered into an employment contract, a delegation contract, a service contract, or any other contract with a corporation or any other organization established under the foreign laws and regulations (hereinafter referred to as a ‘Foreign Corporation’), or a foreign government, a foreign governmental agency, a foreign local government, a foreign central bank, a foreign political party or any other political organization (hereinafter referred to as a ‘Foreign Government’) and is subject to the direction and order of the Foreign Corporation or the Foreign Government, or owes the duty of care of a good manager to the Foreign Corporation or the Foreign Government, according to the contract, except for either of the following cases.

（a) In the case where the Person has entered into an employment contract, a delegation contract, a service contract, or any other contract with a Japanese corporation and is subject to the direction and order of the Japanese corporation or owes the duty of care of a good manager to the Japanese corporation, according to the contract, the Japanese corporation or the Person has agreed with the Foreign Corporation or the Foreign Government that the direction and order of the Japanese corporation or the duty of care of a good manager to the Japanese corporation shall prevail over the direction and order of the Foreign Corporation or the Foreign Government, or the duty of care of a good manager to the Foreign Corporation or the Foreign Government.

(b) In the case where the Person has entered into an employment contract, a delegation contract, a service contract, or any other contract with a Japanese corporation and is subject to the direction and order of the Japanese corporation or owes the duty of care of a good manager to the Japanese corporation, according to the contract, the Person has entered into an employment contract, a delegation contract, a service contract or any other contract with a Group Foreign Corporation (a Foreign Corporation that directly or indirectly holds 50% or more of the voting rights of the Japanese corporation or a Foreign Corporation of which 50% or more of the voting rights are held by the Japanese corporation. The same shall apply hereinafter.) and is subject to the direction and order of the Group Foreign Corporation or owes the duty of care a good manager to the Group Foreign Corporation, according to the contract.

(2) A Person who earns or agrees to earn a large amount of money or other significant profit (money or other profit that accounts for 25% or more of the Person's annual income when converted into money) from a Foreign Government.

Please check the appropriate box below:

□ I fall under Category (1).

□ I fall under Category (2).

□ I fall under categories (1) and (2).

□ I do not fall under either category.

　　　　　　　　　　　　　　　　　　　　　　　Date: Year　　 Month 　　Day

Division/Department:

　　　　　　　　　　 Full name:

　　　　　　　　　　 (Signature)