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## The Journal of Nursing Investigation

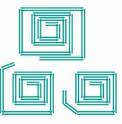
## Vol.18 No.1 September 2020



Difficulties faced by public health nurses involved in prevention of child abuse

・レポート

• Modified diabetes oral health assessment tool(M-DiOHAT©) for nurses and their association with efficacy beliefs and outcome expectancies in patients with diabetes



## The Journal of Nursing Investigation

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横井靖子

発 行 元: 国立大学法人 徳島大学医学部

〒770-8503 徳島市蔵本町3丁目18-15

電 話:088-633-7104 FAX:088-633-7115

選任査読者: 飯藤大和,今井芳枝,岩佐幸恵

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## **ORIGINAL**

## Difficulties faced by public health nurses involved in prevention of child abuse

## Hiroko Hashimoto, Kumi Takahashi

Department of Child Health and Nursing, Institute of Biomedical Sciences, Tokushima University Graduate School, Tokushima, Japan

Abstract Aims: Child abuse is an important global issue. Public Health Nurses (PHNs) play a vital role in supporting children and their families to prevent child abuse. Considering the complex nature of child abuse, PHNs are likely to encounter various difficulties and supporting them is necessary. This study aimed to identify factors influencing the difficulties faced by PHNs in prevention of child abuse, and to understand the relationship between the PHN's personal attributes and the difficulties faced.

Method: A cross-sectional survey design was used in which 250 PHNs involved in prevention of child abuse participated. They were from public health centers and municipalities all over Japan. Data collected were analyzed using exploratory factor analysis.

Results: Six factors on the difficulties that PHNs faced were extracted and identified as: "support of parents and their families facing problems," "process of assessing the problem and linking to support," "cooperation with relevant organizations," "ability as a PHN to provide support," "collaboration within the workplace," and "support for abused children." These difficulties were related to the PHNs' number of years of experience, their current work position, training on abuse, and the number of child abuse cases they encountered.

Conclusion: PHNs encounter various difficulties in the process of handling child abuse cases, but not all of them experience these difficulties in the same way. The results suggest that it is essential to focus on the nature of these difficulties depending on the personal characteristics of PHNs in order to provide effective support.

Key words: child abuse, difficulties, public health nurses

## INTRODUCTION

Child abuse has become an urgent problem in many

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Address correspondence and reprint requests to Hiroko Hashimoto, Department of Child Health and Nursing, Institute of Biomedical Sciences, Tokushima University Graduate School, 3-18-15 Kuramoto-cho, Tokushima City, Tokushima 770-8509, Japan

countries<sup>1)</sup>. In Japan, the number of child abuse consultations referred to child consultation centers nationwide continues to increase year after year, with 159, 850 consultations in 2018. To date, this was the largest number of cases<sup>2)</sup>. With the Second Phase of the Healthy Parents and Children 21 program, the national program for maternal and child health has suggested that "support for parents finding child-rearing is difficult" and that "child abuse prevention measures from pregnancy onward" should be the focal issues<sup>3)</sup>. Professionals from

multiple disciplines need to be involved when providing support, from pregnancy onward; among these professionals, the public health nurses (PHNs) who are affiliated with public health centers and municipalities play a central role in this effort. In Japan's maternal and child health system, PHNs are continuously involved with the child and their family's healthcare, from the initial notification of the pregnancy, to the provision of support for the children and their families.

Health professionals involved in prevention of child abuse have important responsibilities that go along with their role of care provisions. In the process of providing care, they are required to make judgments based on each situation and work toward building relationships with parents; providing this type of support is not an easy task. Dahlbo, Jakobsson, & Lundqvist4) reported that detecting and reporting child maltreatment was stressful for child health care nurses. A study on nurses, doctors, and dentists reported that fears, anxieties, and lack of knowledge act as barriers to recognizing and reporting abuse<sup>5)</sup>. Another study reported that emergency department health care providers experienced various barriers to recognizing and reporting abuse, including providers' desire to believe the caregiver, lack of follow-up on reported cases, and negative consequences of reporting such as having to testify in court 6). Additionally, health professionals face a variety of problems at different stages in the process of providing care; nurses and midwives experienced problems trying to manage the child and family right from the start of the cases<sup>7)</sup>. Maintaining professionalism when dealing with parents suspected of child maltreatment is another difficult aspect of the health professional's role<sup>8)</sup>.

These problems apply to PHNs as well. In Ireland, they are involved in child protection with difficulties experienced such as with monitoring at-risk children and working with social workers<sup>9)</sup>. In Japan, PHNs involved in prevention of child abuse were reported to encounter various difficulties as well, including lack of necessary knowledge, skill, and experience in child abuse cases<sup>10,11)</sup>; getting in contact with parents<sup>12-14)</sup>; cooperating with other organizations<sup>15)</sup>; and anxiety and bewilderment experienced when dealing with abuse<sup>10,16)</sup>. These diffi-

culties arise because the nurses are handling the issues sincerely, thereby not having these difficulties is not necessarily a good thing. However, although professionals involved in prevention of child abuse have a high level of work satisfaction, they also have high levels of stress and burnout<sup>17)</sup>. Previous studies reported that health professionals require supervision<sup>4)</sup> and more education through case reviews<sup>6)</sup>.

In order to provide effective support for PHNs encountering work-related difficulties, it is essential to clarify the details and extent of the difficulties, and to analyze these related factors. The ability of PHNs to execute professional duties may vary according to their level of experience 18-20), and not all PHNs involved in prevention of child abuse may experience work-related difficulties in the same manner and to the same extent. Although a previous study has examined the reasons for and characteristics of difficulties PHNs feel<sup>21)</sup>, there are only a few studies that examined the nature and structure of these difficulties, and how personal attributes of PHN's can lead to different experiences of work-related difficulties.

The aim of this study was to identify the factors of the difficulties faced by PHNs who are involved in prevention of child abuse, and to determine the role played by personal attributes of PHNs, which led to individual differences in the way these difficulties are experienced.

## **METHOD**

A cross-sectional survey design was used.

## **SAMPLE**

The participants were PHNs who were involved in prevention of child abuse, and working in public health centers and municipalities across Japan. There were 250 participants who completed the survey questionnaire.

## **DATA COLLECTION**

An anonymous self-report questionnaire designed by the researchers was sent by postal mail to selected participants throughout Japan. One hundred and ten (110) public health centers were randomly selected from existing data records of the Japanese Association of Public Health Center Directors<sup>22)</sup>, and 393 municipalities were randomly selected as well, from records of the Ministry of Internal Affairs and Communications<sup>23)</sup>, reaching a total of approximately one-fifth of all the public health centers and municipalities nationwide. A request to participate in the survey was sent to directors of the public health centers and to the directors of municipal health centers or departments in charge of maternal and child health. After obtaining permission from the directors, the questionnaires were distributed to PHNs via the director. A pre-paid return envelope was provided, and completed questionnaires were returned directly to the researcher. The survey was conducted between November 2017 and March 2018.

## SURVEY ITEMS

The questionnaire was designed by the researchers and was used to collect data on personal characteristics of the participants, such as gender, age, affiliated organization, years of experience as a PHN, current position, municipality where the respondent worked, number of PHNs in the affiliated organization, training experience in child abuse, and the experience and number of child abuse cases the respondent had been involved in to date.

The survey questionnaire was composed of fifty (50) items derived from content related to difficulties encountered when providing support for child abuse cases from previous research 10-16, 24-28) conducted in Japan on PHNs. During the process of creating the questionnaire, the content was examined by expert researchers, including those in the field of pediatric nursing and public health nursing, and PHNs involved in prevention of child abuse. A pilot study was administered to 10 PHNs, and the questionnaire was revised based on the results. Responses to items on the questionnaire pertaining to the difficulties experienced by PHNs were based on a 4-point scale, from 1 ("Did not experience any difficulty") to 4 ("Experienced difficulty").

## DATA ANALYSIS

Data collected using the survey questionnaire were analyzed through exploratory factor analysis. Descriptive statistics was used to analyze the characteristics of the participants. To ascertain the factor structure for the difficulties experienced by PHNs, an exploratory factor analysis was conducted with the principal factor method and Promax rotation. Items with  $\geq 0.4$  factor loading on one factor were selected.

## RELIABILITY AND VALIDITY

The questionnaire was examined for internal consistency and reliability using Cronbach's alpha coefficient. The relationship between the total score of items attributed to each factor and the data on the characteristics of the participants, including affiliated organization, years of experience as a PHN, current position, municipality population where the respondent worked, number of PHNs in the affiliated organization, training experience in child abuse, and the number of child abuse cases, were analyzed using the Mann-Whitney U test and the Kruskal-Wallis test. Items with a significant difference in the Kruskal-Wallis test were analyzed through the multiple comparisons Bonferroni method. Used in the data analysis was the SPSS version 25 (IBM Corporation, Armonk, NY, USA) and the level of significance was set at 0.05 level of significance.

## ETHICAL CONSIDERATIONS

This study was conducted with the approval of the Clinical Research Ethics Review Board of the Tokushima University Hospital (approval number: 2976). All participants were provided the explanation form to participate in the study. The form clearly explained the aim and method of the study, the voluntary nature of participation in the study, the absence of disadvantages to the subject if they chose not to participate, the guarantee of anonymity, and information regarding management of the data.

## **RESULTS**

The questionnaire was distributed to 447 PHNs who were affiliated in 144 facilities that agreed to participate. Responses were received from 337 nurses (response rate: 75.4%) and 250 of these participants had experienced consultations with child abuse cases, and correctly

responded to the questionnaire items. These responses were then analyzed and interpreted. Remaining responses from 87 nurses were not included in the analysis because they had not experienced consultations with child abuse cases, or had not correctly responded to the questionnaire items.

Table 1. Characteristics of participants

	n	%
Age (years)		
22-29	52	20.8
30-39	66	26.4
40-49	76	30.4
≥50	56	22.4
Affiliated organization		
Municipalities (Health centers)	158	63.2
Municipalities (Non health centers)	48	19.2
Public health centers	39	15.6
Other	4	1.6
Non-response	1	0.4
Experience as a public health nurse		
1-5 years	54	21.6
6-10 years	45	18.0
11-20 years	58	23.2
≥21years	92	36.8
Non-response	1	0.4
Position		
Staff level	161	64.4
Manager level or higher	75	30.0
Other	12	4.8
Non-response	2	0.8
Municipality population		
<10,000	45	18.0
10,000-50,000	83	33.2
50,000-200,000	82	32.8
$\geq 200,000$	38	15.2
Non-response	2	0.8
Number of PHNs in the affiliated organization		
<10	107	42.8
10-20	93	37.2
20-30	34	13.6
≧30	14	5.6
Non-response	2	0.8
Training experience in child abuse		
Experienced		
More than once a year in the past 5 years	94	37.6
More than once in the past 5 years	103	41.2
No experience in the past 5 years	26	10.4
Non-response about frequency	3	1.2
No training experience	24	9.6
Number of child abuse cases involved		
<10 cases	143	57.2
10-30 cases	61	24.4
30-50 cases	19	7.6
≥50 cases	26	10.4
Non-response	1	0.4

## CHARACTERISTICS OF THE PARTICIPANTS

The characteristics of the participants are shown in Table 1. All respondents were women, and the mean age of  $40.1\pm9.9$  years. The mean employment or work experience of PHNs in months was  $188.3\pm124.2$  months. More than 90% of the respondents had received training on abuse, while 94 nurses (37.6%) had attended training at least once a year in the past five years.

## FACTOR STRUCTURE OF THE DIFFICULTIES EXPERIENCED BY NURSES

Before conducting the factor analysis, the ceiling effect, floor effect, and IT correlation for the 50 difficulty items were acknowledged. There were no items that had a floor effect, but there were two items with a ceiling effect. As for the IT correlation, there were three items wherein the Pearson's correlation coefficient was r < 0.3. Six factors and 38 items were extracted as a result of the factor analysis of the 45 items, excluding the aforementioned five items, using the principal factor method and Promax rotation, based on the conditions that the items had an eigenvalue of  $\geq 1.0$ , a factor loading of  $\geq 0$ . 4, did not have a loading of  $\geq 0$ . 4 on other factors, and each factor comprised of  $\geq 3$  items (Table 2). The results of Kaiser-Meyer-Olkin was 0. 937, Bartlett's test of sphericity test showed statistical significance (p < 0.001), and therefore the validity of applying the data to factor analysis was established.

The six factors were as follows: "support for parents and families facing problems" (factor 1), "process of assessing the problem and linking to support" (factor 2), "cooperation with relevant organizations" (factor 3), "ability as a PHN to provide support" (factor 4), "collaboration within the workplace" (factor 5) and "support for abused children" (factor 6). The Cronbach's  $\alpha$  coefficient for each factor was 0.788-0.931.

The mean score per item for each factor in all participants was as follows: support for parents and families facing problems  $-3.21\pm0.55$ ; process of assessing the problem and linking to support  $-2.82\pm0.59$ ; cooperation with relevant organizations  $-2.65\pm0.65$ ;

ability as a PHN to provide support  $-3.00\pm0.65$ ; collaboration within the workplace  $-2.29\pm0.67$ ; and support for abused children  $-3.14\pm0.61$ . "Support for parents and families facing problems" scored the highest, followed by "support for the abused child," "ability as a PHN to provide support." However, focusing on the number of years of experience, the 1-5 year group and 6-10 year group showed different results from the overall result (Figure 1). That is, the 1-5 year group scored highest on "ability as a PHN to provide support," followed by "support for parents and families facing problems," while the other three groups scored highest on "support for parents and families facing problems."

## RELATIONSHIP BETWEEN PUBLIC HEALTH NURSES' CHARACTERISTICS AND DIFFICULTIES THEY EXPERIENCED IN MANAGING CHILD ABUSE CASES

The results of the analysis of the relationship between each difficulty factor and the characteristics of PHNs are shown in Table 3. Of the six factors, significant differences were found in the total score of items, depending on the years of experience as a PHN, the work position, whether they had received training on abuse, and the number of child abuse cases encountered by the nurses. In five factors, "support for parents and families facing problems," "process of assessing the problem and linking to support," "cooperation with relevant organizations," "ability as a PHN to provide support," and "support for abused children." "Process of assessing the problem and linking to support" and "ability as a PHN to provide support," in particular, had significant differences between multiple groups in the number of years of experience, whether training on abuse had been received, and the number of child abuse cases encountered by the nurses.

With regard to the number of years of experience, the 1-5 year group had significantly higher scores for "support for parents and families facing problems," "process of assessing the problem and linking to support," "cooperation with relevant organizations," "ability as a PHN to provide support," and "support for abused

Table 2. Factor structure of the difficulties experienced by public health nurses

	Factorit			Factor	loading		
	Factor/item	Factor1	Factor2	Factor3	Factor4	Factor5	Factor6
Fact	tor1: Support for parents and families facing problems ( $\alpha = 0.931$ )						
46	Support for parents to improve child-rearing behavior	0.942	-0.141	-0.003	-0.060	0.064	-0.003
47	Support for parents to deepen their understanding of children	0.865	-0.075	0.079	-0.027	-0.010	-0.063
45	Adjust the family relationship for parents not receiving support from other family members	0.816	-0.003	0.068	0.102	-0.036	-0.095
48	Obtain consent from the parent to provide the necessary support to the child(ren)	0.779	-0.025	0.014	-0.152	0.064	0.090
49	Exhausted from not obtaining responses even after attempting to engage with parents	0.685	0.075	-0.085	-0.089	0.121	-0.032
44	Support for families facing many problems, including family discord and financial problems	0.644	-0.033	0.116	0.218	-0.026	-0.018
40	Means of recommending that the parents themselves visit a medical institution when such action is deemed necessary	0.615	0.114	0.024	0.038	-0.091	-0.020
39	Means of dealing with parents with mental health problems	0.571	0.333	-0.044	-0.021	-0.067	-0.07
41	Means of dealing with parents who are victims of abuse	0.500	0.154	-0.063	0.124	-0.017	0.090
43	Understanding the psychology of parents who abuse their child(ren)	0.447	0.131	-0.033	0.156	0.038	0.066
42	Means of becoming involved with parents who abuse their child(ren)	0.407	0.052	-0.114	0.292	-0.054	0.248
Fact	tor2: Process of assessing the problem and linking to support( $\alpha = 0$	.931)					
7	Determining the necessity of support for abuse cases	-0.136	0.937	-0.039	0.106	-0.033	-0.087
8	Determining the urgency of support for abuse cases	-0.020	0.789	-0.019	0.109	0.029	-0.081
29	Analyzing the collected information	-0.047	0.722	0.036	0.015	0.089	0.064
28	Determining the required information	-0.038	0.698	0.104	-0.024	-0.005	0.114
6	Determining whether or not a situation is abuse	0.052	0.646	-0.055	0.178	0.026	-0.071
31	Meeting directly with the child(ren) during home visits to ascertain the current situation	0.144	0.632	-0.005	-0.325	0.042	0.249
30	Means of linking a case to support when a child that is a cause for concern is discovered	0.033	0.629	0.147	-0.169	-0.047	0.208
11	Handling emergency situations	0.120	0.603	-0.085	0.156	-0.004	-0.038
35	Means of linking a case to support when a parent that is a cause for concern is discovered  Determining the extent of intervention that should be provided	0.266	0.600	0.037	-0.102	-0.022	-0.052
12	as a public health nurse when providing support for abuse cases  Means of managing the first encounter when providing support	0.212		-0.046	0.182	-0.011	-0.104
36	to parents	0.234	0.516	-0.036	0.057	-0.060	-0.013
Fact	tor3: Cooperation with related organizations ( $\alpha = 0.910$ )						
22	Gaining the cooperation of related organizations during collaboration	-0.083	-0.086	0.941	0.023	0.007	0.028
21	Understanding how to promote collaboration with related organizations	-0.169	0.076	0.858	0.142	0.010	-0.083
24	Sharing information with related organizations	0.084	0.076	0.780	-0.075	-0.029	0.003
23	Coordinating to collaborate with multiple related organizations	0.093	0.088	0.772	0.024	-0.073	-0.019
26	Having a shared understanding of abuse among related organizations	0.174	-0.145	0.700	-0.061	0.080	0.048
Fact	tor4: Ability as a public health nurse to provide support( $\alpha = 0.883$ )						
2	Insufficient knowledge regarding support for abuse cases	-0.024	0.076	0.002	0.845	-0.009	-0.026
3	Lack of skill in providing support for abuse cases	0.056	-0.013	0.039	0.796	0.027	0.086
4	Lack of experience being involved in abuse cases	0.000	0.077	0.033	0.618	-0.070	0.160
1	Awareness that abuse cases are difficult to manage	0.093	0.071	0.018	0.550	0.061	0.047
Fact	tor5: Collaboration within the department( $\alpha = 0.788$ )						
19	Cases are discussed among staff in your department, but there is no consensus of opinion	0.056	-0.050	-0.053	0.035	0.862	-0.030
18	There are insufficient opportunities to discuss cases among staff in your department	0.012		0.002	0.024	0.782	-0.038
14	There is no system for consultation set up in the workplace	0.010		0.042	-0.133	0.547	0.115
20	Determining the necessity for collaboration with related organizations	-0.056	0.245	0.149	0.173	0.458	-0.029
	tor6: Support for the abused child( $\alpha = 0.821$ )						
33	Providing ongoing support for abused child(ren)	-0.039	0.022	0.024	0.033	0.017	0.832
32	Means of becoming involved with abused child(ren)	-0.055	0.035	-0.035	0.143	0.006	0.823
34	Managing cases once the abused child who needed support becomes an adult	0.203	-0.072	-0.013	0.107	-0.017	0.457
	variance explained	12.243	13.224	6.888	10.237	3.519	8.410

Note.  $\alpha$ = Cronbach's  $\alpha$  coefficient

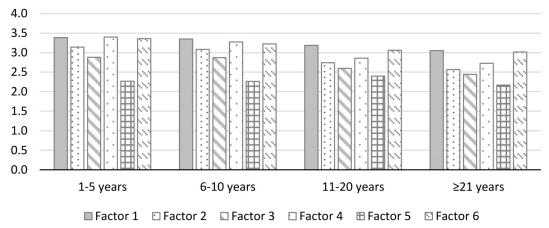


Figure 1. Mean score per item for each factor in the years of experience as a PHN

Table 3. The relationship between the total score of items attributed to each factor and the characteristics of public health nurses

		n	Factor 1	Factor 2	Factor 3	Factor 4	Factor 5	Factor 6
	1-5 years	54	(33.0-42.0) ]**	(30.0-38.25)	15.0 (11.0-16.0) ]***	13.5 (12.0-15.0) ] ]***	9.0 (8.0-11.25)	10.0 (9.0-12.0) ]***
Experience as	6-10 years	45	(34.0-40.50)	(31.0-38.0)	14.0 (12.5-16.5)	(12.0-15.0)	9.0 (8.0-10.5)	10.0 (8.5-11.5)
a PHN	11-20 years	58	36.0 (32.75-39.0)	30.5 [26.0-35.25]	13.0 (10.0-15.0)	12.0	9.0 (8.0-11.0)	9.0 (9.0-11.0)
	≥21years	92	33.0 (28.0-39.75)	28.0 (24.0-33.0)	12.0 (10.0-15.0)	11.0 (8.25-12.0)	9.0 (7.0-10.0)	9.0 (7.25-10.0)
	Municipalitie (Health centers)	158	36.0 (33.0-40.0)	31.5 (27.0-36.0)	13.5 (11.0-15.0)	12.0 (11.0-14.0)	9.0 (8.0-11.0)	9.0 (8.0-11.0)
Affiliated organization	Municipalities (Non health centers)	48	35.0 (32.0-39.75)	32.0 (25.0-35.0)	13.0 (10.0-15.0)	12.0 (10.0-13.0)	8.0 (7.0-10.0)	9.0 (9.0-11.0)
-	Public health centers	39	37.0 (31.0-42.0)	31.0 (26.0-36.0)	13.0 (11.0-15.0)	12.0 (10.0-15.0)	9.0 (8.0-10.0)	9.0 (9.0-12.0)
Position	Staff level	161	36.0 (33.0-40.0)	(28.0-37.0)	14.0 (11.0-15.5) 7 *	12.0 (11.0-15.0) 7	9.0 (8.0-11.0)	9.0 (9.0-11.0)
rosition	Manager level or higher	75	35.0 (28.0-40.0)	28.0 (24.0-33.0)	12.0 (10.0-15.0)	(8.0-12.0)	8.0 (7.0-11.0)	9.0 (8.0-10.0)
	<10,000	45	36.0 (33.0-41.0)	32.0 (26.0-35.5)	13.0 (10.0-15.0)	12.0 (11.0-12.0)	9.0 (7.0-11.0)	9.0 (8.0-10.0)
Municipality	10,000-50,000	83	36.0 (32.0-40.0)	32.0 (27.0-37.0)	13.0 (11.0-15.0)	12.0 (11.0-14.0)	9.0 (8.0-11.0)	9.0 (9.0-11.0)
population	50,000-200,000	82	35.0 (32.0-39.0)	32.0 (26.0-35.0)	13.0 (11.0-15.0)	12.0 (10.0-13.25)	9.0 (8.0-10.0)	9.0 (8.75-11.0)
	≥200,000	38	37.0 (31.0-40.0)	31.0 (26.0-36.0)	15.0 (12.0-16.25)	12.0 (9.75-14.25)	9.0 (8.0-11.25)	9.0 (8.0-11.0)
Number of	<10	107	36.0 (33.0-41.0)	32.0 (26.0-37.0)	13.0 (11.0-15.0)	12.0 (11.0-14.0)	9.0 (8.0-11.0)	9.0 (9.0-11.0)
PHNs in the	10-20	93	36.0 (31.0-39.0)	32.0 (26.0-35.0)	13.0 (10.0-15.0)	12.0 (10.5-14.0)	9.0 (8.0-11.0)	9.0 (8.0-11.0)
affiliated organization	20-30	34	35.0 (31.75-39.5)	31.0 (26.0-37.25)	15.0 (12.75-16.0)	12.0 (10.0-14.0)	8.5 (8.0-11.0)	9.0 (8.0-11.0)
organization	≧30	14	34.5 (31.75-39.6)	30.5 (23.75-35.25)	13.5 (11.5-15.75 <b>)</b>	12.0 (10.75-14.25)	(6.0-11.25)	9.0 (8.75-11.0)
	More than once a year in the past 5 years	94	(31.75-39.7)	(26.0-34.0) ]***	(10.0-15.0) ]*	12.0 (10.0-13.0) ]	8.0 (7.0-10.0) 9.0	(8.0-10.0)
Training experience in	More than once in the past 5 years	103	(31.75-39.8)	(26.0-37.0) \[ \] \[ \] \[ \]	(11.0-16.0)	(10.0-14.0)	(8.0-11.0) 9.0	(9.0-11.0) (9.0-11.0)
experience in child abuse	No experience in the past 5 years	26	34.0 (31.75-39.9) 38.0	(24.75-35.0)	(10.0-14.25) 15.0	(10.75-12.0) 14.0	(8.0-12.0) 9.5	(8.0-10.0)
	No training experience	24	(31.75-39.10)	(34.0-41.0)	(11.5-16.0)	(12.0-15.75)	(8.0-11.0)	(9.0-12.0)
	<10 cases	143	(31.75-39.11)	(29.0-38.0) ]- ]***	13.0 (11.0-15.0)	(12.0-15.0) ]] ]	8.0 (8.0-11.0)	9.0 (9.0-11.0) ] ***
Number of child abuse	10-30 cases	61	36.0 (31.75-39.12)	(26.0-35.0)	14.0 (11.5-15.0)	12.0 (10.0-13.0)   *   ],	9.0 ** (8.0-11.0)	9.0 (9.0-11.0) ]-
cases involved	30-50 cases	19	35.0 (31.75-39.13)	30.0 (25.0-32.0)	12.0 (10.0-15.0)	11.0 (10.0-12.0)	8.0 (6.0-9.0)	9.0 (7.0-10.0)
	≧50 cases	26	31.0 (31.75-39.14)	27.0 (22.5-30.0)	12.5 (10.0-13.25)	8.0 (7.75-12.0)	8.0 (7.75-10.0)	8.0 (6.75-10.0)

Note. Values are presented as the median and 25-75 percentile of the total score of items attributed to each factor.

children" than the ≥21-year group. There were also significant differences in "process of assessing the problem and linking to support" and "ability as a PHN to provide support" between the 6-10 year group and the 11-20 year group. With regard to work position, there was a significant difference in "process of assessing the

problem and linking to support," "cooperation with relevant organizations," and "ability as a PHN to provide support," and the staff scored higher than nurses at the level of manager or higher. In terms of receiving training, there were significant differences in multiple factors between the group with no training experience

<sup>\*:</sup> p<0.05, \*\*: p<0.01, \*\*\*: p<0.001 multiple comparison (Bonferroni method)

and the three groups with training experience; the group with no training experience scored higher. In terms of the number of cases, there were significant differences in multiple factors between the group with experience of <10 cases and the three groups with experience of <10 cases, with the group with experience of <10 cases scoring higher.

## DISCUSSION

This study identified six factors on the difficulty experienced by PHNs were involved in prevention of child abuse. These six factors reflect the process of support provided by the PHNs who were continuously involved in the case by appropriately assessing the child and family situation and providing prompt support for them while coordinating with the involved organizations. With regard to "process of assessing the problem and linking to support," a study<sup>8)</sup> reported that nurses are aware of their obligations to report abuse, but have trouble accurately judging the situation; thus, it is thought that most professionals involved in prevention of child abuse experience this difficulty. PHNs in particular are in a position to assess the situation promptly because of their ongoing involvement with the child, parents, and family starting at the notification of pregnancy through infant medical checkups. Therefore, they play an important role in determining the necessity and urgency of the support and taking action by considering which relevant organizations should be contacted, processes that often involve difficulties.

Of the six factors, "support for parents and families facing problems" had the highest mean score per single factor item, demonstrating the high level of difficulty experienced by PHNs in this area. In reality, it is not a simple task to provide actual support to parents and families facing various problems. Risk factors for child abuse include the parents having a history of abuse<sup>29)</sup> and mental health problems, drug use, separation and divorce, and financial problems<sup>30)</sup>. Therefore, PHNs must provide support and work to improve the child-rearing abilities of not only the mother but also the father and other family members.

However, while it is important for PHNs to build consultative relationships with parents with mental illness, it is also difficult<sup>31)</sup>. Moreover, some parents and families are negative toward or refuse the involvement of PHNs in this way. In these types of situations, PHNs need a broad range of knowledge, communication skills, and the ability to adjust to different family dynamics in order to build a trusting relationship with the parents and family. PHNs also encounter difficulties communicating with parents when involved in child abuse cases and require communication skills training specific to abuse situations<sup>32)</sup>.

The factor item that had the second highest mean score was "support for abused children." The role of PHNs involved in prevention of child abuse tends to be focused on support for parents. However, of the cases that received consultation on abuse from the Child Consultation Center in Japan, approximately 20% were either temporary child protection cases or facility admission cases<sup>33)</sup>, and often the child kept living at home. Considering the current situation, PHNs involved with both the children and parents through health checkups and home visits fulfill an important role in monitoring the growth and development of children while supporting abused children. Although the effect of abuse has long-term implications for children's physical<sup>34)</sup> and mental well-being<sup>34,35)</sup>, the opportunity for PHNs to be involved with abused children gradually decreased after the period of infancy in ordinary maternal and child health systems. Under these conditions, PHNs encountered many difficulties in providing consistent support to abused children.

This study found that characteristics of PHNs were related to their experience of work-related difficulties. The difficulties experienced were found to be related to the PHNs' number of years of experience, work position, whether training on abuse had been received, and the number of child abuse cases encountered. In terms of the PHNs' number of years of experience, there was significant difference in "support for parents and families facing problems," "process of assessing the problem and linking to support," "cooperation with relevant organizations," "ability as a PHN to provide support," and "suppo-

rt for abused children" between nurses with 1-5 years of experience and 11-20 years or ≥21 years of experience, indicating that nurses with 1-5 years of experience are more likely to experience difficulty. The ability of PHNs to execute professional duties increases with experience<sup>18-20)</sup>. Furthermore, novice PHNs believe they lack sufficient ability to provide guidance on maternal and child health, due to their own lack of experience in childbirth and child-rearing, which then becomes a barrier to communicating with mothers<sup>36)</sup>. Therefore, PHNs with fewer years of experience are thought to encounter many difficulties in providing support for child abuse cases, which often entails interaction with mothers and children from the time of pregnancy through child-rearing. Further, nurses who had received no training on abuse and had experience with fewer than 10 cases had more difficulties than nurses with more training and more years of experience. PHNs have different levels of confidence in preventing child abuse, depending on their training and number of years providing support<sup>37)</sup>.

PHNs with training in child maltreatment reported better responses regarding identifying and intervening in child maltreatment compared to those who had not participated in such training<sup>38)</sup>. According to Lee and Chou<sup>39)</sup>, nurses' self-efficacy in reporting cases of child abuse and neglect improved through participating in training programs based on a sequence of case studies. Therefore, PHNs' confidence grows through training and by handling more cases, which may affect their experience of difficulties.

The results of this study demonstrate the variety of difficulties faced by PHNs in the process of handling child abuse cases. These nurses experienced the most difficulty when providing direct support to the affected party (i.e., when providing "support for parents and families facing problems" and "support for the abused child"). There were significant differences in the level of difficulty faced for "process of assessing the problem and linking to support" and "ability as a PHN to provide support," between multiple groups depending on the characteristics of the PHN, including their number of years of experience, training experience, and the number

of cases encountered; hence, the likelihood of these difficulties occurring may depend on the characteristics of the PHN. In fact, concerning the mean score per item for each factor among nurses with 1-5 years of experience, "ability as a PHN to provide support" scored highest.

Provision of care by PHNs to families found to abuse and/or neglect their children improves the family function of such families<sup>40)</sup>, and the role played by these nurses in providing support for child abuse cases is expected to grow significantly in the future. This suggests the necessity of focusing on the priority difficulties for each PHN, and of understanding the nature of the difficulties experienced related to the PHNs' number of years of experience, work position, training experience, and the number of cases encountered, to provide effective support to PHNs in these circumstances.

## LIMITATIONS OF THE STUDY

This study had a few limitations. Although the survey targeted PHNs working in public health centers and municipalities nationwide, only 144 facilities agreed to participate in the survey; hence, there are limitations regarding the generalizability of the findings. The results of this study showed a difference in the difficulties experienced by PHNs based on their number of years of experience, work position, training experience, and the number of cases encountered, but it is not fully clear how the difficulties experienced changed with more years of experience and more cases encountered; therefore, this is a topic for future investigation with more participants.

## CONCLUSION

This study revealed six factors regarding the difficulty encountered by PHNs who were involved in prevention of child abuse. The six factors reflected the process in which PHNs provided support for children and their families while coordinating with relevant organizations. Although PHNs encountered various difficulties, not all experienced these difficulties in the same way. The difficulties that were experienced were associated with personal characteristics of the PHNs such as their *number of years of experience*, their *current work position*, whether *training on abuse had been received* or *not*, and the *number of child abuse cases encountered*. The findings of the study suggested that it is necessary to understand the nature of the difficulties experienced by PHNs as these relate to their personal characteristics, and focus on the difficulties to be prioritized for each PHN in order to provide effective supports for the PHNs in their practice of nursing as a whole.

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## CONFLICT OF INTEREST

None of the authors have any conflict of interest to declare.

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## **REPORT**

Modified diabetes oral health assessment tool (M-DiOHAT©) for nurses and their association with efficacy beliefs and outcome expectancies in patients with diabetes

Yumi Kuwamura<sup>1)</sup>, Sumiko Yoshida<sup>2)</sup>, Kiyoe Kurahashi<sup>2)</sup>, Masuko Sumikawa<sup>3)</sup>, Eijirou Sakamoto<sup>4)</sup>, Kenichi Aihara<sup>5)</sup>, Hiromichi Yumoto<sup>6)</sup>, Akio Kuroda<sup>7)</sup>, Itsurou Endo<sup>2,8)</sup>, Toshiyuki Yasui<sup>9)</sup>, and Sachi Kishida<sup>1)</sup>

- <sup>1)</sup> Department of Women's Health Nursing, Faculty of Health Science, Tokushima University Graduate School of Biomedical Sciences, Tokushima, Japan
- <sup>2)</sup> Department of Hematology, Endocrinology and Metabolism, Faculty of Medical Science, Tokushima University Graduate School of Biomedical Sciences, Tokushima, Japan

<sup>3)</sup> Department of Nursing, School of Health Sciences, Sapporo Medical University, Hokkaido, Japan

- <sup>4)</sup> Department of Periodontology and Endodontology, Faculty of General Dentistry, Tokushima University Hospital of Dental Clinic
- <sup>5)</sup> Community Medicine for Diabetes and Metabolic Disorders, Faculty of Endowed Collaborative Research, Tokushima University Graduate School of Biomedical Sciences, Tokushima, Japan
- 6) Department of Periodontology and Endodontology, Faculty of Oral Science, Tokushima University Graduate School of Biomedical Sciences, Tokushima, Japan
- 7) Diabetes Therapeutics and Research Center, Institute of Advanced Medical Sciences, Tokushima University, Tokushima, Japan 8) Department of Chronomedicine, Faculty of Health Science, Tokushima University Graduate School of Biomedical Sciences, Tokushima, Japan
- <sup>9)</sup> Department of Reproductive and Menopausal Medicine, Faculty of Health Science, Tokushima University Graduate School of Biomedical Sciences, Tokushima, Japan

Abstract Background: Bidirectional relationships exist between diabetes and periodontal disease. Fostering timely oral health assessments of patients with diabetes, the modified diabetes oral health assessment tool (M-DiOHAT©) for nurses was studied. The DiOHAT© has four factors, namely *oral health conditions, oral hygiene behaviors, perception and knowledge*, and *health record sharing*. It was modified as the M-DiOHAT© scale. To change people's health behaviors, "efficacy beliefs" and "outcome expectancies" are important. However, no studies have been reported that addressed efficacy beliefs and outcome expectancies of oral health conditions and behaviors of patients with diabetes.

Objective: To clarify the oral health conditions and behaviors of patients with diabetes using the M-DiOHAT©, and to describe their associations with the Self-Efficacy Scale for Self-Care (SESS)/the Outcome Expectancy Scale for Self-Care (OESS).

Methods: Twenty-eight patients with diabetes participated in the study. Their personal characteristics were determined from the items of self-efficacy for brushing of the teeth (SE-B), self-efficacy for dental consultations (SE-DC), OESS that are comprised of three factors, namely, the social outcome expectancy (OE-Social), oral outcome expectancy (OE-Oral), and self-evaluative outcome expectancy (OE-Self), and the M-DiOHAT  $\odot$ .

Results: Forty-three percent of patients had retained their expected number of present teeth, and 68% of them had dental problems. The scores of *health record sharing* were low, and patients who were under 65 years old had fewer "expected number of present teeth," and lower SE-B/*oral health conditions* scores than those patients aged over 65 years. The scores of *oral hygiene behaviors* were significantly correlated with the SE-B scores, SE-DC, OE-Oral, and OE-Self. However, the *oral health conditions* showed no correlation with SE-B, SE-DC, OESS.

Conclusion: The findings suggest that nursing interventions to promote SE-B, SE-DC, and OESS could be effective in enhancing patients' *oral hygiene behaviors*. However, severity of patients' periodontal disease require different types of dental self-efficacy procedures.

Key words: diabetes, nurse, oral health, self-efficacy, DiOHAT©, M-DiOHAT©

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Department of Nursing, Tokushima University Graduate

School of Biomedical Sciences, Tokushima, Japan Address correspondence and reprint requests to Yumi Kuwamura, RN, Ph.D. 3-18-15, Kuramoto-cho, Tokushimacity, Tokushima 770-8509, Japan

## INTRODUCTION

In Japan, approximately 10 million people are currently suspected to have diabetes that is, they have blood hemoglobin A1c (HbA1c) levels of over 6.5% (NGSP) or are currently receiving insulin treatment or oral hypoglycemic medication<sup>1)</sup>. Diabetes has many complications; some are connected to fatal risks, such as myocardial or cerebral infarctions caused by damage to blood vessels, whereas some are associated with the deterioration of quality of life, such as diabetic neuropathy, retinopathy, and nephropathy caused by micro-angiopathy. Periodontal disease is one such complication. It is known that a bidirectional relationship exists between diabetes and periodontal disease<sup>2-4)</sup>.

The Japanese Clinical Practice Guideline for Diabetes reports that "organized education and support for the self-management of diabetes have been shown to be useful for diabetes management<sup>5,6)</sup> (grade A:100% agreement) 7)." Nurses play important roles in educating patients<sup>8)</sup> and supporting patients in diabetes self-management. Nursing support includes medical nutrition therapy, physical activity/exercise, treatment with glucose lowering agents, and body care, such as foot and oral care. To help nurses briefly assess oral health conditions and behaviors of patients with diabetes, the Diabetes Oral Health Assessment Tool (DiOHAT©) for nurses was developed<sup>9)</sup>. There are four factors, namely oral health conditions, oral hygiene behaviors, perception and knowledge, and health record sharing. In this study, the tool was modified (M-DiOHAT©) for use in a clinical setting.

To support self-management among patients with diabetes, behavioral change is important. Bandura, a psychologist<sup>10)</sup>, reported that "(a) perceived self-efficacy was a judgment of persons' ability to act or practice; (b) outcome expectation was a judgment of the likely results such performance will create<sup>10)</sup>." According to Bandura, conditional relationships between efficacy beliefs and outcome expectancies affect people's health behaviors<sup>10)</sup>. To bring about a change in people's health behaviors, efficacy beliefs and outcome expectancies are important. Some studies have examined self-efficacy in patients with

diabetes11-13). Regarding periodontal disease, significant associations were found between scores on the Outcome Expectancy Scale for Self-Care (OESS)<sup>14)</sup> and the Self-Efficacy Scale for Self-Care (SESS) 15) among patients with periodontal disease. Kakudate et al. reported that SESS has predictive validity for oral health conditions by using a plaque control record<sup>15)</sup>. They also reported evaluating psychological conditions of patients with periodontal disease concerning their behavior and affective status using the OESS with SESS<sup>14)</sup>. However, no studies have reported the efficacy beliefs and outcome expectancies of oral health conditions and behaviors in patients with diabetes. If M-DiOHAT© has some associations with SESS or OESS, it will be shown that using OESS with SESS has the possibility of promoting oral health conditions and behaviors or M-DiOHAT©.

## PURPOSE AND SIGNIFICANCE

This study aimed to clarify the oral health conditions and behaviors of patients with diabetes by using the M-DiOHAT© scale, and to determine their association with the Self-Efficacy Scale for Self-Care (SESS)<sup>15)</sup> and the Outcome Expectancy Scale for Self-Care (OESS)<sup>14)</sup>.

## **METHODS**

## Study Design

The design of choice that responded appropriately to the aim of the study was the descriptive correlational design<sup>16)</sup>.

## **PARTICIPANTS**

The study enrolled patients with diabetes being treated at the diabetes clinic of an educational hospital in western Japan in December 2017. The inclusion criteria were aged  $\geq 20$  years, having a stable medical condition, having no impediments to communication, and having no possibility of change in condition due to participation in this study as determined by physicians and nurses. Exclusion criteria were severe mental disorders, such as dementia, visual impairment, and impairment of hand

range of motion to emphasize persons' abilities to brush their teeth independently. Participants were recruited at a diabetes clinic. After introducing the researcher, the participants were chosen based on the aforementioned inclusion and exclusion criteria. After the occasion, toothbrush (es) and/or mirrors were provided to patients for participating in the study.

## **DESCRIPTION OF INSTRUMENTS/MEASURES**

The following instruments were used to collect data: the Modified Diabetes Oral Health Assessment Tool (M-DiOHAT©) for Nurses and the SESS<sup>15)</sup> and OESS<sup>14)</sup> of patients with periodontal disease. Data on clinical characteristics, age, sex, clinical diagnosis, treatment of diabetes, duration of diabetes, diabetes complication, HbA1c level, dental checkup in the past month, and attendance at the hospital's diabetes class on periodontal disease were collected. A nurse counted the number of teeth and checked whether the patient had full or partial dentures using a pen light (bright LED model BF-325BP [Panasonic]). The number of teeth by age and sex group was compared with data from the 2016 Survey of Dental Diseases, conducted by the Japanese Ministry of Health, Labour and Welfare (2016 Survey of Dental Diseases) 17).

## THE DIOHAT© FOR NURSES

The DiOHAT© for Nurses was developed to elicit data on 4 factors (*oral health conditions* [7 items]; *oral hygiene behaviors* [6 items]; *perception and knowledge* [3 items]; and *health record sharing* [5 items][21 items total]) <sup>9)</sup>. The tool was used by Certified Nurses in Diabetes Nursing or Certified Nurse Specialists in Chronic Care Nursing, as well as nurses certified by the Japanese Nursing Association. The Cronbach's alpha of the DiOHAT© was 0. 932 when developed (participants were diabetes nurse specialists) <sup>9)</sup>. Nurses using the original assessment tool found that they wanted to assess patients' oral health conditions and behaviors in a shorter time<sup>18)</sup> and gain knowledge about oral assessment<sup>18)</sup>, therefore, the DiOHAT© was revised for impro-

ved clinical use. The first revision, Clinical-DiOHAT© or C-DiOHAT©, was used by nurses in clinical settings along with a simultaneous examination of oral health conditions by a dentist<sup>19)</sup>. The findings based on the dentist's and nurse's assessments were compared. It was found that specific assessment items ("symptoms of gingival swelling," and "use of supplementary tools, such as interdental brush, dental floss") were associated with dental examination, suggesting that nurses may be able to obtain useful information using the C-DiOHAT©<sup>19)</sup>.

In the next stage, the DiOHAT© was revised again. The second revision was the Modified-DiOHAT© or M-DiOHAT© (17 items total), and revisions in the four factors are shown in the following sentences.

Factor 1 (*oral health conditions* [5 items]): The following items were checked by a nurse: "dentures (partial or full)," "counting the total number of the patient's teeth (dentures, bridges, and implants are excluded)," and "checking the inside of the patient's mouth." In addition, the item presence of difficulties related to the teeth" was included to obtain subjective information from patients.

Factor 2 (*oral health behaviors* [6 items]): "Checking one's mouth with a mirror" was revised as "checking the place where the toothbrush touched the gingival border with a mirror when patients brushed their teeth." One reason for this change was to enhance behavior to prevent periodontal disease, because the rate of nurses' assessment of "brushing around the border of teeth and gingiva" was low<sup>18</sup>, even though it was one of the most important items pertaining to the prevention of periodontal disease. Another reason was that checking their mouths with a mirror was difficult for some patients. Many patients asked, "what should I look at? I could not assess anything, but only look." "Regular dental checkup more than once a year" was revised to "regular dental checkup."

Factor 3 (perception and knowledge [2 items]): "Knowledge of a relationship between periodontal disease and systemic disease, including diabetes" was revised to "knowledge of a relationship between periodontal disease and diabetes." "Perception of one's oral health status" was originally included in Factor 3. However, it was omitted from the M-DiOHAT©, because the

question about "perception of one's oral health status" in the questionnaire was considered difficult for patients to answer within a short period.

Factor 4 (health record sharing [4 items]): The item "showing self-monitoring blood glucose notebook to the dentist" was excluded because, in Japan, it was only used for medical injection therapy to save the patients' time.

Patient responses to a given statement were scored on a 4-point Likert scale, with values for each response ranging from 1 to 4 (1 = never, 2 = occasionally, 3 = sometimes, 4 = always; except for the oral health conditions factor). Regarding oral health conditions, except for "biting firmly on molar or dentures," response values ranged from 1 to 4 (1 = always, 2 = sometimes, 3 = occasionally, 4=never). The M-DiOHAT© score for each patient is shown as the sum of the scores for the 17 items. The total possible score ranges from 17 to 68. A higher score indicates that the patient engaged more frequently in self-management behavior or had good oral health conditions for that item. Additionally, to compare these results with those from the 2016 Survey of Dental Diseases<sup>17)</sup>, a previous study<sup>20)</sup>, and patients' characteristics, items were dichotomized into binary Yes/No variables. For the majority of times, scores rated as a 1 (never) were categorized as no, while ratings of 2 (occasionally), 3 (sometimes), and 4 (always) were categorized as yes. However, the four items, "bleeding during toothbrushing," "gingival swelling," "awareness of halitosis," and "having difficulties (troubles) related to the teeth" were recorded in a slightly different manner. For these items, ratings of a 4 (never) were recoded as no, and scores of 1 (always), 2 (sometimes), and 3 (occasionally) were categorized as yes. The percentage of the score obtained in each cell was calculated as follows:raw score/maximum possible score × 100, where the maximum possible score was 4 (in the item) or the number of items ×4 (in the factor). The score for each item ranged from 1 to 4.

SESS [Self-efficacy for brushing of the teeth (SE-B) and self-efficacy for dentist consultations (SE-DC)]<sup>21)</sup>. The SESS, a task-specific self-efficacy scale for self-care for patients with periodontal disease, was developed by

Kakudate et al<sup>15</sup>). and has been found to have high reliability and validity<sup>15</sup>). It comprises 3 subscales: (a) self-efficacy for dentist consultations (SE-DC; 5 items)<sup>15, 21</sup>, (b) self-efficacy for brushing of the teeth (SE-B; 5 items)<sup>15, 21, 22</sup>), and (c) self-efficacy for dietary habits (SE-DH; 5 items)<sup>15, 21</sup>). To assess self-efficacy of oral health behavior, SE-B scores based on a scale of self-efficacy for brushing of the teeth were used in other studies<sup>21-23</sup>) and SE-DC were used. These studies measured self-efficacy on a Likert scale ranging from 1 (I cannot do it in any way) to 5 (I can do it without fail) (range of total score: 10-50). A higher score indicates that the patient has high self-efficacy. Regarding SESS<sup>15</sup>), as the original manuscript was written in Japanese, English expressions were adapted from the same first author's article<sup>21</sup>).

OESS<sup>14)</sup>. The OESS, also developed by Kakudate et al., is used to determine "the beliefs that carrying out a specific behavior will lead to a desired outcome"<sup>14)</sup> in patients with periodontal disease. It comprises 3 factors: (a) social outcome expectancy (OE-social; 5 items); (b) oral outcome expectancy (OE-oral; 4 items); and (c) self-evaluative outcome expectancy (OE-self; 4 items)<sup>14)</sup>. It measures outcome expectancy on a Likert scale from 1 (completely disagree) to 5 (completely agree) (the sum of the scores ranges from 13-65). A higher score indicates that the patient has high outcome expectancy.

Regarding SESS and OESS, the percentage of the score obtained in each cell was calculated as follows: raw score/maximum possible score  $\times$  100, where the maximum possible score was the number of items  $\times$  5.

The authors received permission to use the SESS and OESS scales from the developer via e-mail.

## STATISTICAL ANALYSIS

Descriptive statistics were performed with participants' demographic characteristics. After applying the Shapiro-Wilk test, the parametric variables were presented as means and standard deviation (SD) and nonparametric variables were presented as medians (interquartile range [IQR]). Spearman's rank correlation coefficient was used to assess the relationships among the scores of the 4 factors of the M-DiOHAT©, SESS

(SE-B, SE-DC), and OESS (OE-Social, OE-Oral, and OE-Self); sub-factors in the M-DiOHAT©; 2 factors of SESS; and 3 factors of OESS. Mann-Whitney U test or Fisher's exact test was used to compare the demographic or clinical characteristics with regard to the scores of the M-DiOHAT®, SESS, or OESS. Furthermore, characteristics (age [under 65 years or over 65 years] and the expected number of present teeth by age and sex group was compared with the data from the 2016 Survey of Dental Diseases conducted by Japanese Ministry of Health, Labour and Welfare [yes or no], and dental checkup in the last month [yes or no]) were compared with the score of sub-factors of M-DiOHATC. The reference book<sup>24)</sup> showed the necessary sample size (n =29) when the correlation coefficient (r) = 0.50. IBM SPSS version 23.0 was used for the statistical analyses. Statistical significance was set as P < 0.05.

## ETHICAL CONSIDERATIONS

This study was conducted with the approval of the Clinical Research Ethics Committee of the Tokushima University Hospital (approval no. 2982). In acquiring consent to participate in this research, the authors explained the contents of the research using prepared documents. Participants fully understood the study contents and voluntarily provided verbal and written consent to participate in this research. Participants were informed that they could withdraw their consent at any point during the study, and that their personal data would be kept strictly confidential.

## **RESULTS**

## Participant Characteristics

Clinical characteristics of the patients and their oral condition are shown in Table 1. Patients' mean (SD) age was 59. 5 (10. 5) years; their clinical diagnoses (diabetes type) included type 1 diabetes (n=7), type 2 diabetes (n=18), and others (n=3); those with median HbA1c comprised 6. 9% (IQR 6. 6-8. 6). Thirteen (46%) patients had periodontal disease, and 15 (54%) underwent a dental checkup in the past month. However, only 8 (29%)

had attended the hospital's diabetes class on periodontal disease. The median score of M-DiOHAT© was 44.0 (IQR 35.0-49.8), as shown in Table 2. Table 3 shows that there were significant differences between age and bleeding during toothbrushing (subcategory-oral health conditions of M-DiOHAT©) (P = 0.024); between age and symptoms of gingival swelling (subcategory-oral health conditions of M-DiOHAT©) (P = 0.024). There were significant differences between number of teeth and being given dentists' instructions for brushing (P = 0.044). Significant differences were also found between dental checkup in the last month and awareness of halitosis (subcategory-oral health conditions of M-DiOHAT©) (P = 0.016), and between dental checkup in the last month and regular dental checkup (subcategory-oral hygiene behaviors of M-DiOHAT©) (P=0.001). As Table 4 shows, patients aged under 65 years had a significantly fewer "expected number of present teeth" (P = 0.001), lower SE-B scores (P = 0.027), and lower oral health conditions scores (P = 0.010) than patients aged over 65 years. Patients having the expected number of present teeth had significantly higher scores (indicating good conditions) for the subcategory of oral health conditions of M-DiOHAT© (P = 0.040) than patients who did not have the expected number of present teeth. Furthermore, the patients who had a dental checkup in the last month in which the study was conducted had significantly higher scores of OE-Oral (P=0.049) and of oral hygiene behaviors in M-DiOHAT© (P = 0.004) than the patients who did not, as indicated in Table 5.

## M-DiOHAT©, SE-B, SE-DC, and OESS Scores

M-DiOHAT©. As shown in Table 2, the following items' median scores were low, and the response rates indicating "No" were high: checking where the toothbrush touched the gingival border with a mirror when the patients brushed their teeth; showing personal health record of medicines to the dentist; showing personal health record of diabetes to the dentist; and notifying their primary nurse about their dental condition. Regarding the reliability of the M-DiOHAT© (participants were patients with diabetes), Cronbach's alpha was 0.729 in this study. Cronbach's alphas for subcategories

Table 1. Patients' clinical characteristics and oral conditionsn

(n = 28)

	Mean	SD	Min	Max		
Age (yr)	59. 5	10.5	42	81		
Age at diagnosis (yr)	42.1	14.6	11	67		
	Median	$IQR^{1)}$	Min	Max		
Duration of diabetes mellitus (yr)	12.5	(10.0-25.5)	1	45		
HbAlc level (%)	6.9	(6.6 - 8.6)	5.8	13. 0		
Number of present teeth	23.0	(15.8-26.8)	0	28		
				n(%)		
Patients	Outpatient	s / inpatients	2	4(86%)/4(14%)		
Sex	Male/female 13(46%)					
Clinical diagnosis	Type1 diab	etes mellitus (T1I	OM)	7(25%)		
	Type2 diab	etes mellitus (T2I	OM)	18(64%)		
	Other			3(11%)		
Therapy	Oral hypog	lycemic agent alo	ne	6(21%)		
	Injection al	one		6(21%)		
	Combinatio	on therapy		16(57%)		
Complications	Diabetic ne	europathy		9(32%)		
	Diabetic re	tinopathy		16(57%)		
	Diabetic ne	phropathy		12(43%)		
	Angina pec	toris, myocardial inf	arction	6(21%)		
	Cerebral (1	orain) infarction		3(11%)		
	Diabetic fo	ot ulcers		3(11%)		
	Periodontit	is		13(46%)		
	Hypertensi	ion		16(57%)		
	Dyslipidem	iia		10(36%)		
Having the expected number of present teeth based on sex and age group <sup>2)</sup>	Yes			12(43%)		
Denture	Yes			13(46%)		
Dental checkup in the last month	Yes			15 (54%)		
Attending diabetes classes about periodontal disease in the hospital	Yes			8(29%)		

<sup>1)</sup> IQR: Interquartile range

of oral health conditions, oral hygiene behaviors (6 items), perception and knowledge, and health record sharing were 0.514, 0.727, 0.586, and 0.758, respectively. Regarding the correlation between factors in the M-DiOHAT©, there was significant correlation between oral hygiene behaviors and perceptions and knowledge ( $r_s$ =0.499), as shown in Table 6.

SE-B, SE-DC in SESS. The median score of SE-B was 19.0 (IQR 14.0-22.0) and that of SE-DC was 19.0 (IQR 9.0-25.0), as shown in Table 2. Regarding SE-B, about 4-14% and 7-25% of patients chose 1 (I cannot do it in any way) or 2 (I cannot do it much) on the Likert scale, respectively. Regarding SE-DC, about 21-32% and 4-11% of patients chose 1 and 2 on the Likert scale, respectively. The score for the item "I have regular checkups even when my mind is not relaxed<sup>21)</sup>" was the highest (32% of patients chose 1, 7% of patients chose 2).

OESS. The median scores of OE-Oral, OE-Self, and OE-Social were 15.5 (IQR 12.0-18.0), 15.5 (IQR 13.0-18.8), and 18.5 (IQR 15.0-21.8), respectively in Table 2. Regarding OE-Oral, about 4-14% of patients chose 1 (completely disagree) or 2 (disagree). Regarding the item "(when I perform good oral self-care,) I can talk more confidently with people<sup>14)</sup>" in OE-Self, 86% chose 5 (completely agree) or 4 (agree). None of the patients chose 1 (completely disagree) with the item. Regarding the item "(when I perform good oral self-care,) I am complimented by my dentist or hygienist<sup>14)</sup>" in OE-Social, about 43% of patients chose 1 (completely disagree) or 2 (disagree). However, none of the patients disagreed with the item "(when I perform good oral self-care,) I feel better talking to people<sup>14)</sup>."

<sup>2)</sup> The number of present teeth by age and sex group was compared with the data from the 2016 Survey of Dental Diseases, conducted by Japanese Ministry of Health, Labor and Welfare.

Table 2. Scores on the M-DiOHAT©, SESS, and OESS

		n	Median (%) 1 )or 2)	IQR <sup>3)</sup> (%) <sup>1)or2)</sup>
M-Di0	DHAT©4)		44.0(65)	35. 0(51) - 49. 8(73)
	Factor 1 : Oral health conditions (5 items)	28	14.5(73)	12.3(61) - 17.0(85)
	(1)Bleeding during toothbrushing	28	4.0(100)	2. 3(56) - 4. 0(100)
	(2)Symptoms of gingival swelling	28	4.0(100)	3.0(75) - 4.0(100)
	(3)Awareness of halitosis	28	3.0(75)	2.0(50) - 4.0(100)
	(4)Having difficulties (troubles) related to the teeth	28	2.0(50)	1.0(25) - 4.0(100)
	(5)Biting firmly on molar or dentures	28	4.0(100)	2. 3(56) - 4. 0(100)
	Factor 2: Oral hygiene behaviors (6 items)	28	15.0(63)	11.0(46) - 18.8(78)
	(1)Checking where the toothbrush touched the gingival border using a mirror, when the patients brush their teeth	28	1.0(25)	1.0(25) - 2.0(50)
	(2)Toothbrushing around the border of teeth and gingiva	28	3.0(75)	2.0(50) - 4.0(100)
	(3)Toothbrushing carefully one tooth at a time	28	2.0(50)	2.0(50) - 4.0(100)
	(4)Use of supplementary tools (e.g., interdental brush, dental floss)		2.0(50)	1.0(25) - 3.0(75)
	(5)Being given dentists' instructions for brushing	28	2.0(50)	1.0(25) - 4.0(100)
	(6)Regular dental checkup	28	3.0(75)	1.3(31) - 4.0(100)
	Factor 3: Perceptions and knowledge (2 items)	28	7.0(88)	5.0(63) - 8.0(100)
	(1)Perceptions of oral care efficacy regardless of the timing of care initiation	28	4.0(100)	2.0(50) - 4.0(100)
	(2)Knowledge of the relationship between periodontal disease and diabetes	28	4.0(100)	3.0(75) - 4.0(100)
	Factor 4: Health record sharing (4 items)	28	7.0(44)	4.0(25) -10.8(67)
	(1)Showing personal health record of diabetes to the dentist	28	1.0(25)	1.0(25) - 3.8(94)
	(2)Showing personal health record of medicines to the dentist	28	1.0(25)	1.0(25) - 2.0(50)
	(3)Notifying their primary doctor about their dental condition	28	3.0(75)	1.0(25) - 4.0(100)
	(4)Notifying their primary nurse about their dental condition	28	1.0(25)	1.0(25) - 1.0(25)
SESS <sup>5)</sup>	Self-efficacy for brushing of the teeth (SE-B): 5 items	27	19.0(76)	14.0(56) - 22.0(88)
	Self-efficacy for dentist consultations (SE-DC): 5 items	27	19.0(76)	9.0(36) -25.0(100)
DESS <sup>6)</sup>	Oral outcome expectancy (OE-Oral): 4 items	28	15. 5 (78)	12.0(60) - 18.0(90)
	Self-evaluative outcome expectancy (OE-Self): 4items	28	15. 5 (78)	13.0(65) - 18.8(94)
	Social outcome expectancy (OE-Social): 5 items	28	18.5(74)	15.0(60) -21.8(87)

<sup>1)</sup> Scores on the M-DiOHAT©: "The percentage of the score obtained in the each cell" was calculated as follows: raw score/maximum possible score × 100, where the maximum possible score was 4 (in the item) or the number of items × 4 (in the factor); The score for each item ranged from 1 to 4.

## Relationship Between M-DiOHAT® and SE-B, SE-DC, and OESS Scores

As shown in Table 6, the scores on *oral hygiene behaviors* in M-DiOHAT© were significantly correlated with the SE-B scores ( $r_s$ =0.673, P=0.001), SE-DC scores ( $r_s$ =0.584, P=0.001), OE-Oral scores ( $r_s$ =0.614, P=0.001), and OE-Self scores ( $r_s$ =0.406, P=0.032); however, *oral health conditions* and *health record sharing* showed no relationship with SE-B, SE-DC, and OESS scores. *Perceptions and knowledge* were correlated with SE-B ( $r_s$ =0.519, P=0.001). OE-Social in OESS showed no relationship with M-DiOHAT©. There were significant relationships between SE-B and SE-DC ( $r_s$ =0.515, P=0.006)/OE-Self ( $r_s$ =0.380, P=0.046) and between

SE-DC and OE-Oral ( $r_s$ =0. 434, P=0. 024). There were also significant relationships between OE-Oral and OE-Self ( $r_s$ =0. 461, P=0. 014)/OE-Social ( $r_s$ =0. 606, P=0. 001), and OE-Self and OE-Social ( $r_s$ =0. 769, P=0. 0001).

## DISCUSSION

This study found that 43% of patients had the expected number of present teeth and 68% had problems related to the teeth. The scores for *health record sharing* were low, and patients aged under 65 years had fewer "expected number of present teeth" and lower scores for SE-B and *oral health conditions* than patients aged over 65 years. It also found that the scores on *oral* 

<sup>2)</sup> Scores on the SESS, and OESS "The percentage of the score obtained in the each cell" was calculated as follows: raw score/maximum possible score × 100, where the maximum possible score was the number of items × 5. The score for each item ranged from 1 to 5.

<sup>3)</sup> IQR : Interquartile range

 $<sup>4)\,</sup>M\text{-}DiOHAT@: Modified\ Diabetes\ Oral\ Health\ Assessment\ Tool@\ for\ Nurses$ 

 $<sup>5) \,</sup> SESS: Self-Efficacy \,\, Scale \,\, for \,\, Self-Care \,\, among \,\, patients \,\, with \,\, periodontal \,\, disease$ 

 $<sup>6) \,</sup> OESS: Outcome \, Expectancy \, Scale \, for \, Self-Care \, among \, patients \, with \, periodontal \, disease \, dis$ 

Table 3. Scores on the M-DiOHAT $\odot$  and their association with age/having the expected number of teeth based on sex and age group/dental checkup in the last month (n=28)

	n( %)		Age(yr)						tal checkup e last month	
M-DiOHAT© <sup>3)</sup>		Under65(yr)	Over65(yr)	P-value <sup>2)</sup>	No	Yes	P-value <sup>2)</sup>	No	Yes	P-value <sup>2)</sup>
Factor 1: Oral health conditions (5 items)										
(1)Bleeding during toothbrushing	No <sup>4)</sup> 15 (54%)	6	9	0.024*	7	8	0. 276	7	8	1.000
	Yes <sup>5)</sup> 13(46%)	11	2		9	4		6	7	
2)Symptoms of gingival swelling	No <sup>4)</sup> 15 (54%)	6	9	0.024*	7	8	0.276	6	9	0.705
	Yes <sup>5)</sup> 13(46%)	11	2		9	4		7	6	
3)Awareness of halitosis	No <sup>4)</sup> 9(32%)	3	6	0.095	4	5	0.432	1	8	0.016*
	Yes <sup>5)</sup> 19(68%)	14	5		12	7		12	7	
4)Having difficulties (troubles) related to the teeth	No4 9(32%)	5	4	1.000	4	5	0.432	4	5	1.000
	Yes <sup>5)</sup> 19(68%)	12	7		12	7		9	10	
5)Biting firmly on molar or dentures	No <sup>6)</sup> 3(11%)	2	1	1.000	2	1	1.000	1	2	1.000
	Yes <sup>7)</sup> 25(89%)	15	10		14	11		12	13	
Factor 2: Oral hygiene behaviors (6 items)										
1)Checking where the toothbrush touched the gingival bor	- No <sup>6)</sup> 19(68%)	11	8	1.000	10	9	0.687	10	9	0. 435
der using a mirror, when the patients brush their teeth	Yes <sup>7)</sup> 9(32%)	6	3		6	3		3	6	
2)Toothbrushing around the border of teeth and gingiva	No <sup>6)</sup> 4(14%)	3	1	1.000	2	2	1.000	3	1	0.311
	Yes7)24 (86%)	14	10		14	10		10	14	
3)Toothbrushing carefully one tooth at a time	No <sup>6)</sup> 5(18%)	4	1	0.619	3	2	1.000	1	4	0. 333
	Yes7)23(82%)	13	10		13	10		12	11	
4)Use of supplementary tools	No <sup>6)</sup> 11 (39%)	5	6	0.248	5	6	0.441	6	5	0.700
(e.g., interdental brush, dental floss)	Yes <sup>7)</sup> 17(61%)	12	5		11	6		7	10	
5)Being given dentists' instructions for brushing	No <sup>6)</sup> 8(29%)	4	4	0.671	2	6	0.044*	6	2	0.096
	Yes <sup>7)</sup> 20(71%)	13	7		14	6		7	13	
6)Regular dental checkup	No <sup>6)</sup> 7(25%)	4	3	1.000	3	4	0.418	7	0	0.001*
, .g	Yes <sup>7)</sup> 21 (75%)	13	8		13	8		6	15	
Factor 3: Perceptions and knowledge (2 items)										
1)Perceptions of oral care efficacy regardless of the	No <sup>6)</sup> 6(21%)	4	2	1.000	3	3	1.000	3	3	1.000
timing of care initiation	Yes <sup>7)</sup> 22(79%)	13	9		13	9		10	12	
2)Knowledge of the relationship between periodontal	No <sup>6)</sup> 2( 7%)	1	1	1.000	1	1	1.000	1	1	1.000
disease and diabetes	Yes <sup>7)</sup> 26 (93%)	16	10		15	11		12	14	
Factor 4: Health record sharing (4 items)										
1)Showing personal health record of diabetes to the	No <sup>6)</sup> 20(71%)	11	9	0. 419	11	9	1.000	9	11	1.000
dentist	Yes <sup>7)</sup> 8(29%)	6	2		5	3		4	4	
2)Showing personal health record of medicines to the	, ,	11	9	0.419	10	10	0.401	11	9	0. 221
dentist	Yes <sup>7)</sup> 8(29%)	6	2		6	2	~,	2	6	
3)Notifying their primary doctor about their dental		3	6	0.095	3	6	0.114	7	2	0.042*
condition	Yes <sup>7)</sup> 19(68%)	14	5	0.000	13	6	V. 111	6	13	0.012
4)Notifying their primary nurse about their dental		12	10	0. 355	12	10	0.673	10	12	1.000
condition	Yes <sup>7)</sup> 6(21%)	5	1	0.000	4	2	0.010	3	3	1.000

<sup>1)</sup> Having the expected number of teeth based on sex and age group was compared with the data from the 2016 Survey of Dental Diseases, conducted by the Japanese Ministry of Health, Labor and Welfare.

hygiene behavior in the M-DiOHAT© were significantly correlated with the scores on the SE-B, SE-DC, OE-Oral, and OE-Self. However, the *oral health conditions* showed no correlation with SE-B, SE-DC, and OESS.

## M-DiOHAT©

Regarding factor 1 (oral health conditions), symptoms

of gingival bleeding, swelling, and halitosis were signs of periodontal disease. Referring to the data from the 2016 Survey of Dental Diseases<sup>17)</sup>, less than 20% of the people aged 40-80 years had "sore, swollen, and bleeding gums". Compared to this data, the oral health conditions of the patients in this study (bleeding during toothbrushing [yes=46%], and symptom of gingival swelling [yes=46%]) were not good.

<sup>2)</sup> Fisher's exact test (two-tailed), \*\*P < 0.01, \*P < 0.05

<sup>3)</sup> M-DiOHAT  ${}^{\scriptsize{\textcircled{\tiny $0$}}}$  : Modified Diabetes Oral Health Assessment Tool  ${}^{\scriptsize{\textcircled{\tiny $0$}}}$  for Nurses

<sup>4)</sup> No : value for each response "score 4" = never

<sup>5)</sup> Yes: value for each response "score 1" = always, "score 2" = sometimes, "score 3" = occasionally always, "score 2" = sometimes, "score 3" = occasionally always, "score 2" = sometimes, "score 3" = occasionally always, "score 2" = sometimes, "score 3" = occasionally always, "score 2" = sometimes, "score 3" = occasionally always, "score 2" = sometimes, "score 3" = occasionally always, "score 2" = sometimes, "score 3" = occasionally always, "score 2" = sometimes, "score 3" = occasionally always, "score 3" = occasionally a

<sup>6)</sup> No : value for each response "score 1" = never

<sup>7)</sup> Yes: value for each response "score 2" = occasionally, "score 3" = sometimes, "score 4" = always

Table 4. The relationships among age and having the expected number of teeth/dental checkup in the last month/score of SESS/OESS/M-DiOHAT©

			Age(yr)		Having the ex	th1)	
	-	Under 65 (yr)	Over 65(yr)	P-value <sup>2)</sup>	No	Yes	P-value <sup>2)</sup>
Having the expected number of present teeth <sup>1)</sup>	No	14 (82.4%)	2 (18.2%)	0.001**			
	Yes	3 (17.6%)	9 (81.8%)				
Dental checkup in the last month	No	9 (52.9%)	4 (36.4%)	0.460	7 (43.8%)	6 (50.0%)	
	Yes	8 (47.1%)	7 (63.6%)		9 (56.3%)	6 (50.0%)	
		Median ( IQR3) )	Median( IQR3) )	$P$ -value $^{4)}$	Median( IQR3) )	Median( IQR3) )	$P$ -value $^{4)}$
SESS <sup>5)</sup> Self-efficacy for brushing of the teeth (SE	E-B) : 5 items	s 17.0 (12.0 – 20.5)	22.0 (18.0-24.0)	0.027*	16.0 (11.5-20.8)	20.5 (18.0-23.0)	0.107
Self- efficacy for dentist consultations (SE-	DC): 5 items	<b>s</b> 18.0 ( 9.0 – 23.0)	24.0 (8.0-25.0)	0.228	18.0 ( 9.0-25.0)	23.0 (8.0-25.0)	0.776
OESS <sup>6)</sup> Oral outcome expectancy (OE-O	ral) : 4 items	s 15.0 (12.0 – 18.0)	16.0 (12.0-20.0)	0.861	16.0 (13.5-18.8)	13.5 (11.3-17.5)	0.387
Self-evaluative outcome expectancy (OE-S	Self): 4 items	<b>3</b> 15.0 (12.0 – 18.0)	17.0 (14.0-20.0)	0.073	15. 5 (12. 3 – 18. 0)	15.5 (13.3-19.8)	0.705
Social outcome expectancy (OE-Social OUTCOME)	cial): 5 items	s 18.0 (14.5 – 21.5)	21.0 (15.0-22.0)	0.477	18.5 (14.8-21.8)	18.0 (15.0-21.8)	0.827
Total sc	ale (13 items)	46.0 (40.0 – 56.0)	50.0 (39.0-60.0)	0.451	52. 0 (40. 3 – 57. 8)	44.0 (39.0-56.5)	0.529
M-DIOHAT© <sup>7)</sup> Oral health condit	ions (5 items)	13.0 (11.0-16.0)	16.0 (14.0-20.0)	0.010*	13.0 (11.0-15.8)	16.0 (14.0-18.0)	0.040*
Oral hygiene behav	riors (6 items)	14.0 (11.0-18.0)	16.0 (10.0-19.0)	0.702	15.0 (11.5-18.8)	14.0 (8.5-18.8)	0.470
Perceptions and knowle	dge (2 items)	7.0 ( 4.5 - 8.0)	7.0 ( 5.0 - 8.0)	0.800	7.5 ( 4.5 - 8.0)	5.5 ( 5.0 - 8.0)	0.404
Health record sha	ring (4 items)	7.0 (5.5-12.0)	4.0 ( 4.0-10.0)	0.079	7.0 ( 5.0 – 12.5)	6.0 ( 4.0- 9.5)	0.333
To	tal(17 items)	41.0 (36.0-49.5)	46.0 (34.0-53.0)	0.635	46. 5 (38. 3 – 49. 8)	42.0 (33.3-51.8)	0.723

<sup>1)</sup> Having the expected number of present teeth were compared by the number of present teeth by age and sex group was compared with the data from the 2016, Survey of Dental Diseases, conducted by Japanese Ministry of Health, Labor and Welfare

Table 5. The relationships between dental checkup in the last month and SESS/OESS/M-DiOHAT©

		Dental	checkup in the last month	
		No	Yes	
	_	Median (IQR1)	Median (IQR1)	P-value <sup>2)</sup>
SESS <sup>3)</sup>	SE-B (5 items)	17.0(14.0-19.5)	21.0(13.0-23.0)	0. 195
	SE-DC (5 items)	18.0(8.3-23.0)	23.0(9.0-25.0)	0.346
OESS <sup>4)</sup>	OE-Oral (4 items)	14.0(10.0-16.0)	16.0(12.0-20.0)	0.049*
	OE-Self (4 items)	15.0(12.5-18.5)	16.0(13.0-19.0)	0.901
	OE-Social (5 items)	19.0(15.5-21.5)	18.0(13.0-22.0)	0.741
	Total scale (13 items)	46.0(40.0-56.0)	50.0(39.0-60.0)	0.532
M-DIOHAT©5)	Oral health conditions (5 items)	14.0(12.5-16.0)	15.0(11.0-18.0)	0. 592
	Oral hygiene behaviors (6 items)	11.0(9.5-14.0)	18.0(15.0-20.0)	0.004*
	Perceptions and knowledge (2 items)	6.0(4.5-8.0)	7.0(5.0-8.0)	0.498
	Health record sharing (4 items)	5.0(4.0-9.0)	7.0(5.0-13.0)	0.166
	Total(17 items)	39.0(33.5-42.0)	49.0(45.0-51.0)	0.020*

 $<sup>1)</sup> IQR: Interquartile\ range$ 

*Oral health conditions* did not correlate with SE-B, SE-DC, and OESS scores in this study. There are some possible reasons.

First, oral health conditions are caused by many factors. In this study, 68% of patients had difficulties (troubles) related to their teeth. With respect to dental problems, many patients were likely to have caries and

periodontal disease. Caries are caused by complex factors (individual factors, bacterial flora, lifestyle, diet, and so on<sup>25)</sup>. Periodontal disease is caused by lack of balance between microbial infection and host immune response<sup>26)</sup>. Therefore, it seems that oral health conditions are influenced by complex factors and not simply related to self-efficacy.

<sup>2)</sup> Fisher's exact test (two-tailed), \*P < 0.05

<sup>3)</sup> IQR : Interquartile range

<sup>4)</sup> Mann-Whitney U test, \*P < 0.05

<sup>5)</sup> SESS: Self-Efficacy Scale for Self-Care (SESS) among patients with periodontal disease

<sup>6)</sup> OESS: Outcome Expectancy Scale for Self-Care among patients with periodontal disease

 $<sup>7)\,</sup>M\text{-DiOHAT} @: Modified\ Diabetes\ Oral\ Health\ Assessment\ Tool @\ for\ Nurses$ 

<sup>2)</sup> Mann-Whitney U test, \*P < 0. 05

<sup>3)</sup> SESS: Self-Efficacy Scale for Self-Care among patients with periodontal disease

<sup>4)</sup> OESS: Outcome Expectancy Scale for Self-Care among patients with periodontal disease

<sup>5)</sup> M-DiOHAT©: Modified Diabetes Oral Health Assessment Tool© for Nurses

Table~6.~~The~relationships~among~M-DIOHAT @, SESS~and~OESS, and~among~sub-factors~in~the~M-DiOHAT @/SESS/OESS~and~OESS, and~among~sub-factors~in~the~M-DiOHAT @/SESS/OESS~and~oESS, and~among~sub-factors~in~the~M-DiOHAT @/SESS/OESS~and~oESS, and~among~sub-factors~in~the~M-DiOHAT @/SESS/OESS~and~oESS, and~among~sub-factors~in~the~M-DiOHAT @/SESS/OESS~and~oESS, and~among~sub-factors~in~the~M-DiOHAT @/SESS/OESS~and~oESS, and~oESS, and

			M-	DiOHAT	(C)1)		SES	$SS^{2)}$		OE	$SS^{3)}$	
		Oral	Oral	Percep-	Health	m	OD D	an na	070 1	070.16	OE-	Total
		health conditions (5 items)	behaviors	tions and knowledge (2 items)	sharing	Total (17 items)	SE-B (5 items)	(5 items)	OE-Oral (4 items)		Social (5 items)	scale (13 items)
SESS <sup>2)</sup>	Self-efficacy for brushing of the teeth (SE-B) (5 items	0. 341	0.673**	0.519**	0. 204	0.684**	1.000					
	Self-efficacy for dentist consultations (SE-DC) (5 items	0.110	0.584**	0.368	-0.003	0.373	0.515**	1.000				
OESS <sup>3)</sup>	Oral outcome expectancy (OE-Oral) (4 items	0. 164	0.614**	0. 211	0. 227	0.534**	0.301	0.434*	1.000			
	Self-evaluative outcome expectancy (OE-Self) (4 items	0. 160	$0.406^*$	0.367	0.060	0.438*	0.380*	0.366	0.461*	1.000		
	Social outcome expectancy (OE-Social) (5 items	0. 284	0.310	0. 136	-0.006	0.318	0.190	0.245	$0.606^{**}$	0.769**	1.000	
	Total scale (13 items	0. 209	0.509**	0. 253	0.084	0.469*	0. 283	0.379	0.848**	0.790**	$0.894^{**}$	1.000
M-DIOI	HAT© <sup>1)</sup> Oral health conditions (5 items	1.000										
	Oral hygiene behaviors (6 items	0.016	1.000									
	Perceptions and knowledge (2 items	0.042	0.499**	1.000								
	Health record sharing (4 items	-0.020	0.265	0. 259	1.000							
	Total (17 items	0.361	0.736**	0.578**	0.676**	1.000						

Spearman's rank correlation coefficient (r<sub>s</sub>);\*\*P < 0.01, \*P < 0.05

Another reason for the lack of an association between oral health conditions and SE-B/SE-DC/OESS scores could have been that it seems difficult for patients who have poor oral health conditions to have oral self-efficacy and outcome expectancy. This is backed up by the fact that some patients had severe periodontal disease (although the dentists did not examine this, it was evident that there were numerous reports of few teeth or edentulous).

Such patients also require dental visits to treat their teeth or manage their dentures, such as to "get new dentures" or "learn how to use dentures". Additional professional dental treatment, such as removal of calculus, occlusal adjustment, or fixation of mobile teeth, may become necessary because oral health conditions may not improve by self-care alone. It was apparent that patients with severe periodontal disease required a different type of dental self-efficacy. Therefore, it is necessary to use different procedure of oral self-efficacy and outcome expectancy depending on the patient's oral health conditions. Although Kakudate et al<sup>15)</sup>. did not investigate the association between the stage of periodontal disease and self-efficacy, it was noted that patients' self-efficacy may vary in cases of mild and severe periodontal disease. An assessment tool is needed for use with diverse patient populations in a short period of time. These are some of the future challenges in the

field.

Furthermore, the Cronbach's alpha of factor 1 (*oral health conditions*) was 0.51. However, since the Cronbach's alpha values exceeded 0.50, an acceptable<sup>27,28)</sup>, but low level of internal consistency was verified<sup>27,28)</sup>. It had been reported that low Cronbach's alpha value might be due to "a low number of questions, poor interrelatedness between items, or heterogeneous construct<sup>29)</sup>". Generally, in dental science, these items are considered suitable for assessing periodontal conditions. This should be researched further in the future.

Regarding factor 2 (oral hygiene behaviors), 75% of patients in this study visited dentists regularly, and 54% had a dental checkup in the last month. According to data from the National Health and Nutrition Survey in Japan<sup>1)</sup>, less than 60% of the people visited dentists annually. It seems that having high SE-B, SE-DC, and OESS scores led to good oral hygiene behaviors. It was suggested that patients who scored high on oral hygiene behavior in M-DiOHAT© had the highest possibility of obtaining high scores on the SE-B, SE-DC, and OESS. It was found that participants who scored high on the SESS had a greater improvement of the plaque control record than those who scored low on the SESS<sup>15)</sup>. This means that SESS can predict the brushing effect<sup>15)</sup>. Thus, patients with high scores might have high efficacy beliefs and high outcome expectancies. In other words,

<sup>1)</sup> M-DiOHAT ©: Modified Diabetes Oral Health Assessment Tool © for Nurses

<sup>2)</sup> SESS: Self-Efficacy Scale for Self-Care among patients with periodontal disease

<sup>3)</sup> OESS: Outcome Expectancy Scale for Self-Care among patients with periodontal disease

the M-DiOHAT© scale seems to provide a way to determine efficacy beliefs and outcome expectancies, in addition to briefly examining oral health conditions and oral hygiene behaviors.

However, on the M-DiOHAT© scale, most patients scored low on factor 4 (health record sharing), which might explain a lack of correlation with SE-B, SE-D, and OESS scores. Patient education on the importance of selfmanagement-including sharing information with medical and dental professionals—should be promoted. Many patients with diabetes have (or will have) diabetes complications, which can affect their quality of life and longevity. Regarding the weak relationship between oral hygiene behavior in M-DiOHAT© and OE-Self, patients may not expect the following outcome: "(when patients perform good oral self-care) living an orderly life, becoming confident in oneself, having more pride in one's teeth, and talking more confidently with people 149". There was no relationship between oral hygiene behavior in M-DiOHAT© and OE-Social. Similarly, patients may not expect the following social outcome: "(when patients perform good oral self-care,) being praised by one's dentist or dental hygienist, saving dental treatment expense, talking with people more willingly, becoming more confident when meeting people, and supporting the people who can live more healthy life<sup>14</sup>." Therefore, nurses should inform patients about the health and social benefits of oral health behavior.

Self-efficacy is one of the most important concepts in supporting patients with chronic illness. Many patients with diabetes face behavioral changes. Miller<sup>30)</sup> reported that to assess patients and their family members' readiness to learn, their self-efficacy must be determined. Self-efficacy involves confidence in the ability to perform a behavior, and has a high positive influence on health-promoting behavior changes in people with chronic illness<sup>30)</sup>. Therefore, self-efficacy is often used as an important predictor for patients with diabetes to be examined for behavioral changes or health promotion efforts<sup>31,32)</sup>. In a previous study, Kakudate et al.<sup>14)</sup> found a significant relationship between SESS and OESS and reported their possible use to evaluate the oral health of patients with periodontal disease. This study found a

similar correlation in patients with diabetes, suggesting that the M-DiOHAT© can be used effectively by nurses to promote patients' oral hygiene behaviors.

Factor 3 (perceptions and knowledge) included two items; Cronbach's alpha was 0.586. This might be because there were only two items. It has been reported that questionnaires with fewer items have lower Cronbach's alpha values 29,33). It was also found that perceptions and knowledge were correlated with SE-B scores. Patients' efficacy in brushing might cause good "perceptions and knowledge". Conversely, good "perceptions and knowledge" might lead to "patients' efficacy of brushing." Most patients were found to have adequate knowledge about oral health. These results may affect the relationship between "oral health behavior in M-DiOHAT©" and SE-B, SE-DC, and OE-Oral scores. Perceptions and knowledge did not correlate with their SE-DC and OESS scores. It seems that patients visited dentists when they had poor oral health conditions or experienced problems, and the dentists said that these were worsened by diabetes. It might be important for patients that their knowledge is related to their behaviors. However, it is more important for dental or medical professionals to provide the patients with knowledge so that patients have hope of improving their oral health amid their illness (diabetes, periodontal disease), and because patients can intend to practice oral hygiene behaviors.

Patients with diabetes have many daily regimens, such as diet, exercise, self-monitoring blood glucose, taking medicine or insulin injection, washing feet. They may have diabetes complications requiring visits not only to their primary physician for diabetes treatment but also an ophthalmologist, circulation physician, or nephrologist. Although these patients might be too busy to physically visit multiple physician, many participants in this study reported that they visited a dentist during the previous month. Therefore, nurses should recognize and commend the patients for their efforts. It is also important to support patients to make the regimen more effective. While all patients may know that daily toothbrushing is an important oral health behavior, some patients may not be able to follow this suggestion.

Nurses should help these patients to brush their teeth by themselves and encourage them to visit a dentist regularly. It is the nurses' role to encourage patients not to give up on medical/dental professionals' treatment of their oral health conditions, as other physical conditions could worsen. In addition, nurses are required to be knowledgeable, educate patients about addressing dental problems, such as periodontal disease, dentures, and nurses are also required to share the information with dental professionals.

## LIMITATIONS

The findings of this study may contribute to promoting improved oral health conditions and oral hygiene behaviors for patients with diabetes. However, this study has some limitations. First, the small sample size and the selection of patients from only one educational hospital, which has both medical and dental departments, limit the generalizability of the findings. Moreover, patients who consented to participate in this survey might have had higher-than-average interest in oral care and comparatively good oral health conditions. Thus, future studies with larger samples should be conducted in local general hospitals, which do not have a dental division, to clarify the relationships among the scores of the M-DiOHAT®, SESS, and OESS. Second, the cross-sectional design of this study precludes causal inference. A longitudinal intervention study is needed to confirm the effect of assessing and educating patients on oral health conditions and behaviors using the M-DiOHAT© on their self-efficacy beliefs and outcome expectancy.

## CONCLUSION

The scores on *oral hygiene behaviors* in the M-DiOHAT© were significantly correlated with self-efficacy for brushing of the teeth, self-efficacy for dentist consultations, oral outcome expectancy, and self-evaluative outcome expectancy. The factor of "oral hygiene behaviors" could predict improvement in self-efficacy of oral health behavior in a short time.

Therefore, supporting the promotion of these aspects may be effective for improving patients' oral hygiene behaviors. However, patients with poor oral health conditions may have difficulty achieving self-efficacy and outcome expectancies. It appears that patients with severe periodontal disease require a different type of dental self-efficacy. Therefore, it is necessary to use different types of oral self-efficacy and outcome expectancy depending on the patients' oral health conditions.

## RECOMMENDATIONS FOR NURSING EDUCATION

It is necessary for nursing education to teach students to the importance of supporting patients' promotion of self-efficacy and outcome expectancy regarding oral care.

## IMPLICATIONS FOR PRACTICE

The study's initial step was to investigate the diabetic clinic's tendencies for one month, and to determine the directions for future studies. One of the most important nursing research roles is providing evidence for clinical practice. The presentation of M-DiOHAT© has some offers. One of them is to grow nurses' interests in patients' oral care. Furthermore, the use of M-DiOHAT© will result in nurses' time reduction in acquiring patients' oral information. Finally, the results of this study demonstrate the one of the ways of nursing care to promote patients' oral health behaviors.

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## **CONFLICT OF INTEREST**

The authors declare that there are no conflicts of interest.

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## 「The Journal of Nursing Investigation」投稿規定

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本誌の名称は The Journal of Nursing Investigation とする. 原稿の種類として次のものを受け付けています. 原著, 総説, 研究報告, 短報, 資料, その他編集委員会が適当と認めたものとする.

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## 内容

看護とその関連分野で、未発表のものに限る.

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- 1) 原稿(原則として和文)は、ワードプロセッサを用いて作成し、図・表などを含め A 4 版で刷り上がり10頁 (800字詰 A 4 版原稿20枚――図表を除く)以内とする、短報は、投稿書式を参照して作成する。
- 2) 原稿は、新かなづかいを用い、原則として常用漢字とする。句読点は、「,..」を用いる。
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